

# Intensity of statin therapy and new hospitalizations for heart failure in patients with type 2 diabetes

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## ABSTRACT

**Objective:** To examine a relationship between statin intensity and heart failure (HF) incidence in diabetes.

**Research design and methods:** We performed a retrospective cohort study of patients with type 2 diabetes (n=600; age, 66.3 years; men, 68%). Patients were categorized into three groups by baseline statin treatments—moderate-intensity, low-intensity, or no statin—and the independent association between the statin category and HF hospitalization during follow-up was examined.

**Results:** Over the course of the median 6-year follow-up, 17.7% of the patients were hospitalized for HF. Cox regression analysis revealed a significant association between the baseline statin category and HF incidence (p=0.002), independently of age, sex, hypertension, B-type natriuretic peptide, glycated hemoglobin, estimated glomerular filtration rate, and low-density lipoprotein (LDL) cholesterol levels. The moderate-intensity statin group had a significantly lower risk for HF than the low-intensity statin group with an adjusted HR of 0.31 (95% CI 0.13 to 0.65, p=0.0014).

Interestingly, among patients with prevalent coronary artery diseases (CAD) and with baseline LDL controlled to less than 100 mg/dL, the frequency of HF was still significantly lower in the moderate-intensity group than in the low-intensity group or the no statin group. The effect of baseline statin category on HF was independent of incident CAD events during follow-up.

**Conclusions:** In type 2 diabetes, moderate-intensity statins, in comparison to low-intensity or no statin, were associated with lower HF incidence independently of LDL levels or of CAD events.

## INTRODUCTION

Clinical trials in patients with high risk for coronary artery diseases (CAD) have demonstrated that high doses of statins lead to a significant reduction in mortality and morbidity.<sup>1–3</sup> Therefore, in patients with high cardiovascular risk or with prevalent CAD, high-dose statins are recommended in the clinical guidelines.<sup>4 5</sup>

Trials with statins have also shown significant prevention of CAD events or mortality

## Key messages

- Patients with type 2 diabetes taking moderate-intensity statins had a significantly lower heart failure risk than those taking low-intensity or no statins.
- The association between the statin category and heart failure development persisted after taking into account possible confounding factors including levels of low-density lipoprotein (LDL) cholesterol, B-type natriuretic peptide (BNP), or echocardiographic parameters at baseline, or coronary events during follow-up.
- Even in those with baseline LDL levels controlled to less than 100 mg/dL, the moderate-intensity statin group had significantly lower heart failure incidence than the low-intensity or no statin group.
- The effect of the statin category is apparent, especially in diabetes with prevalent coronary artery diseases or with BNP<100 pg/mL.

in patients with diabetes.<sup>6</sup> Meta-analyses have revealed the overall benefits of statin therapy for CAD prevention, especially in those with moderate or high cardiovascular risk.<sup>7 8</sup>

In addition to the lipid-lowering capabilities, statins seem to have pleiotropic effects that could affect cardiac remodeling, inflammation, and oxidative stress.<sup>9 10</sup> However, while statins have become a standard in the prevention and treatment of CAD, it is not fully understood whether and which statins are effective in the prevention of heart failure (HF). In the present study, we therefore examined the difference in HF incidence among patients with diabetes taking moderate-intensity, low-intensity, and no statins at baseline in our retrospective cohort.

## RESEARCH DESIGN AND METHODS

### Participants

The study population selection is detailed elsewhere.<sup>11</sup> In brief, of 1345 patients

referred to our facility between March 2000 and November 2007, 826 who were diagnosed with type 2 diabetes were selected for the study. Sixty-two patients were excluded because they had diagnoses of acute HF or exacerbation of chronic HF at the time of referral, or they were symptomatic for HF (classes 2–4 of the New York Heart Association (NYHA) functional classification). Therefore, all eligible patients with type 2 diabetes were asymptomatic for HF (NYHA class 1) at baseline. In this study, we further excluded patients diagnosed with primary hyperlipidemia including familial hypercholesterolemia at baseline. Among the rest, 600 patients with type 2 diabetes who underwent screening echocardiography for baseline cardiac function were selected. Opportunities to opt out of participation were provided to all patients.

### Clinical variables and diagnosis of diseases

Anthropometric, clinical, and laboratory data including age, sex, body mass index (BMI), blood pressure, plasma B-type natriuretic peptide (BNP) levels, estimated glomerular filtration rate (eGFR), and low-density lipoprotein (LDL) levels using the Friedewald formula were obtained at baseline. Diabetes was diagnosed at baseline on the basis of plasma glucose levels, physician's diagnosis, or history of medical treatment for diabetes. Prevalent and incident HF were diagnosed clinically by expert cardiologists, who are independent of the authors of the current paper. Prevalent HF was identified when the patient had a previous diagnosis of HF in their medical records. Incident HF was defined either as death from HF (3.8% of total events) or as the first HF hospitalization during follow-up shown at the top of the hospital coding list. Prevalent CAD includes myocardial infarction (MI) with elevated cardiac enzymes, angina pectoris with coronary stenosis, documented myocardial ischemia, or a prior coronary reperfusion procedure at baseline. The CAD incident was defined as the first hospitalization during follow-up due to acute coronary syndrome (ACS), including MI with elevated cardiac enzymes, unstable angina with documented coronary arterial lesions, coronary reperfusion procedure, or coronary arterial bypass operation.

### Statin intensities

Although atorvastatin (40–80 mg) and rosuvastatin (20–40 mg) were defined as the high-intensity statin regimens,<sup>4</sup> usual doses of atorvastatin and rosuvastatin in Japan were equal to or less than 20 mg and 10 mg, respectively. In this study, the patients taking atorvastatin (5–20 mg), rosuvastatin (2.5–5 mg), or pitavastatin (2–4 mg) were categorized to the moderate-intensity statin group, while the low-intensity statin group includes the patients taking pitavastatin (1 mg), pravastatin (5–20 mg), simvastatin (2.5–10 mg), or fluvastatin (20–30 mg), according to the 2013 American College of Cardiology (ACC)/American Heart Association (AHA)

guideline.<sup>4</sup> The proportion of patients taking statins in this study is shown in online supplementary table S1.

### Statistical analyses

Baseline characteristics according to the statin category (moderate-intensity, low-intensity, and no statin therapy) were compared using one-way analysis of variance (ANOVA). The follow-up was terminated at the time of either the HF event or at the end of the observation (10 years at maximum). For the person without hospitalization for HF during the follow-up, the HF-free survival time was considered to be as long as the duration of the observation. To calculate the proportion of individuals free of incident HF during follow-up, the Kaplan-Meier method was used. The statistical difference between the statin categories was examined using the log-rank test. In the moderate-intensity and low-intensity statin categories, the relative risks of HF were calculated using the Cox proportional hazard models with the no statin therapy category or low-intensity statin category as a reference, adjusting for age, sex, hypertension, ln [BNP (pg/mL)], glycated hemoglobin (HbA1c), eGFR, and LDL cholesterol as covariates. Since BNP reflects asymptomatic cardiac dysfunction,<sup>12</sup> we included plasma BNP levels in the adjustment factors unless otherwise indicated.

In the subgroup analysis, the study population was divided by the presence/absence of prevalent CAD, by LDL cholesterol levels (less than/equal to or more than 100 mg/dL), or by plasma BNP levels (less than or more than/equal to 100 pg/mL), and HRs for the statin category on HF hospitalization were calculated in each subgroup. In addition, to determine the effect of CAD events, a potential confounding factor for HF events, the association between the baseline statin category and the CAD events during follow-up was also examined. The additional analysis was also performed by censoring patients who developed CAD prior to HF at the time of the CAD event. Furthermore, to exclude the potential sampling bias, the sensitivity analysis was performed only in patients with whom the observation was started during the latter half of the entry period (ie, from November 2005 to November 2007).

All analyses were conducted using JMP V.12.0.1 (SAS Institute, Cary, North Carolina, USA) statistical software. All p values were two tailed, and values less than 0.05 were considered statistically significant. All CI were calculated at the 95% level. Data are expressed as means  $\pm$ SD unless otherwise indicated.

## RESULTS

### Baseline characteristics

At the baseline, the proportions of patients taking moderate-intensity, low-intensity, and no statins were 23%, 31%, and 46%, respectively. As shown in table 1, the statin categories were significantly associated with age, BMI, and HbA1c levels. In the increasing statin category, prevalence of hypertension, CAD, asynergy of the

**Table 1** Baseline characteristics of continuous (above) and categorical (below) variables in all patients with type 2 diabetes or according to the statin category

Variables	ALL			Statin category									p Value
	Average	SD	Number	None			Low intensity			Moderate intensity			
				Average	SD	Number	Average	SD	Number	Average	SD	Number	
Age (years)	66.3	9.6	600	66.5	10.2	276	67.7	9.5	186	64.1	8.1	138	0.004 <sup>a</sup>
BMI (kg/m <sup>2</sup> )	25.2	4.2	600	24.7	4.1	276	25.9	4.6	186	25.3	3.9	138	0.01 <sup>a</sup>
Sys BP (mm Hg)	136.4	18.3	598	136.1	18.0	275	135.9	17.4	185	137.9	20.1	138	0.57
Dia BP (mm Hg)	73.4	10.9	596	72.8	10.5	275	73.1	11.1	184	74.9	11.5	137	0.18
IVS (mm)	10.2	2.0	582	10.3	2.1	268	10.1	1.9	179	10.0	2.2	135	0.22
LVPW (mm)	10.2	1.7	579	10.1	1.7	266	10.2	1.5	178	10.2	1.7	135	0.66
LVDd (mm)	48.5	7.7	600	48.4	7.5	276	48.1	7.3	186	49.3	8.8	138	0.38
LVDs (mm)	32.6	9.0	594	32.3	8.7	272	32.5	8.7	184	33.3	9.9	138	0.53
EF (%)	55.9	13.3	594	56.6	13.1	272	55.1	14.0	184	55.7	12.7	138	0.51
E/A	1.1	4.3	415	0.9	0.7	174	0.9	0.5	135	1.7	8.4	106	0.29
DcT (ms)	231.8	60.9	439	232.6	63.3	187	231.7	62.3	144	230.6	54.8	108	0.96
ln [BNP (pg/mL)]	3.69	1.26	600	3.68	1.30	276	3.73	1.25	186	3.65	1.22	138	0.83
T-chol (mg/dL)	185.3	41.9	599	185.6	43.4	276	185.3	34.0	185	184.8	48.2	138	0.99
TG (mg/dL)	152.5	105.4	550	151.9	113.6	251	142.9	71.8	171	166.4	124.0	128	0.16
HDL-chol (mg/dL)	42.4	12.6	596	41.6	12.9	274	43.0	11.7	184	43.0	13.0	138	0.43
LDL-chol (mg/dL)	112.1	31.7	534	113.7	27.8	242	113.6	29.8	169	106.7	40.0	123	0.10
FPG (mg/dL)	151.3	52.9	550	146.8	47.6	251	154.9	59.2	172	155.3	53.6	127	0.19
HbA1c (%)	9.1	1.8	600	9.0	1.8	276	9.2	1.7	186	9.4	1.7	138	0.04 <sup>a</sup>
HbA1c (mmol/mol)	76	19.7		75	1.8		77	18.6		79	18.6		
eGFR (mL/min/1.73 m <sup>2</sup> )	64.8	25.0	600	67.2	26.6	276	63.5	22.7	186	61.8	24.6	138	0.08
Variables	Number	Per cent	Number	Per cent	Number	Per cent	Number	Per cent	Number	Per cent	Number	Per cent	
Gender (male)	410	68	193	70	116	62	101	73					0.09
Smoking	117	20	51	18	32	17	34	25					0.10
Alcohol	274	46	136	49	78	42	60	43					0.10
Hypertension	492	82	213	77	161	87	118	86					0.02 <sup>a</sup>
LV asynergy	174	29	49	18	63	34	62	46					0.0001 <sup>a</sup>
CHF	53	9	25	9	17	9	11	8					0.91
CAD	318	53	114	41	110	59	94	68					0.0001 <sup>a</sup>
Valvular diseases	36	10	25	16.0	5	4.6	6	6.5					0.0036 <sup>a</sup>
Atrial fibrillation	62	10	36	13.0	18	9.7	8	5.8					0.069
PAD	87	15	25	9.1	37	19.9	25	18.1					0.002 <sup>a</sup>

<sup>a</sup>p<0.05.

BMI, body mass index; BNP, B-type natriuretic peptide; CAD, coronary artery disease; CHF, congestive heart failure; DcT, deceleration time; Dd, diastolic dimension; Dia BP, diastolic blood pressure; Ds, systolic dimension; E/A, the ratio of peak early LV filling velocity to late diastolic atrial filling velocity; EF, ejection fraction; eGFR, estimated glomerular filtration rate; FPG, fasting plasma glucose; HbA1c, glycated hemoglobin; HDL, high-density lipoprotein; IVS, interventricular septum; LDL, low-density lipoprotein; LV, left ventricular; PAD, peripheral artery disease; PW, posterior wall; Sys BP, systolic blood pressure; T-chol, total cholesterol; TG, triglyceride.

left ventricular wall and peripheral artery diseases are higher. There was no statistical difference among statin groups with respect to sex, blood pressure, ln [BNP (pg/mL)], echocardiographic parameters, or prevalence of HF. The mean baseline LDL levels in the moderate-intensity, low-intensity, and no statin groups were 106.7±40 mg/dL, 113.6±29.6 mg/dL, and 113.7±27.8 mg/dL, respectively (p=0.1).

**Baseline LDL levels in each statin category stratified by prevalent CAD**

In the patients without prevalent CAD at the baseline, the proportions of each statin category were 15.6% for the moderate-intensity statin group, 27.0% for the low-intensity statin group, and 57.5% for the no statin group. The mean baseline LDL levels in the moderate-intensity, low-intensity, and no statin groups were 119±48 mg/dL, 120±33 mg/dL, and 116±31 mg/dL, respectively, and there was no significant difference among the statin categories (p=0.70) (see online supplementary table S2).

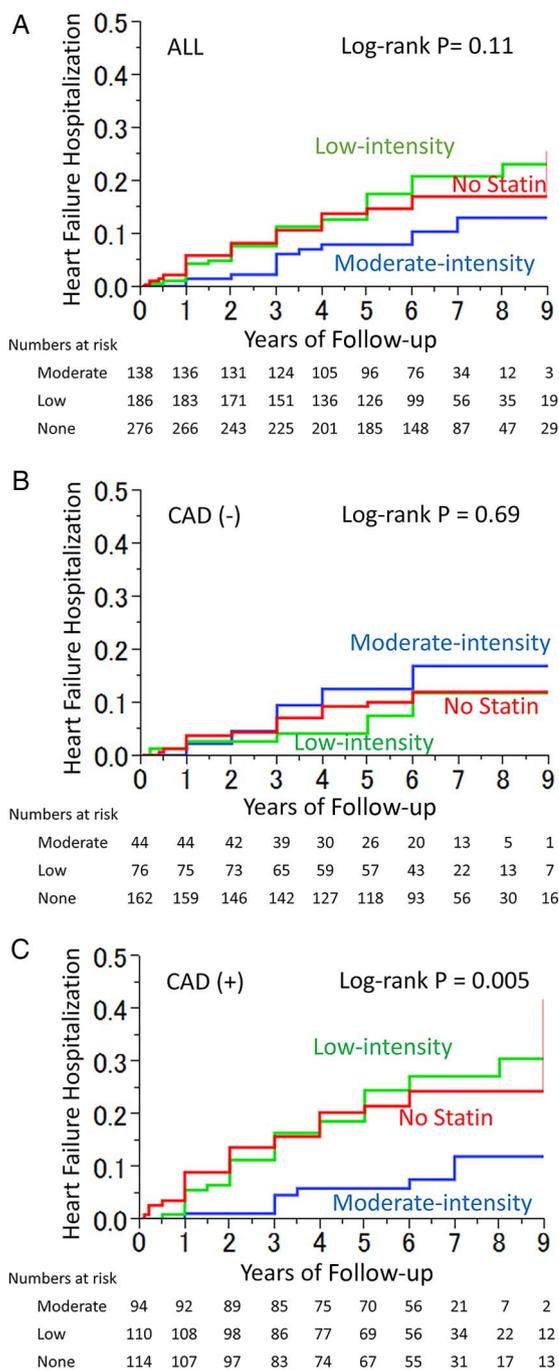
On the other hand, in the patients with prevalent CAD, the proportions of each statin category were 29.6% for the moderate-intensity statin group, 34.6% for the low-intensity statin group, and 35.9% for the no statin group. The mean baseline LDL levels in the moderate-intensity, low-intensity, and no statin groups were 101±35 mg/dL, 109±27 mg/dL, and 111±22 mg/dL, respectively (p=0.05) (see online supplementary table S2).

**Hospitalization for HF**

Over the course of the median 6-year follow-up, 17.7% of the patients were diagnosed as incident HF. The cumulative rates of new hospitalization for HF according to the statin category are shown in figure 1A. The Kaplan-Meier analysis showed separation between the moderate-intensity and no statin groups. In contrast, no apparent difference was observed between the low-intensity and no statin groups. The difference among the cumulative incidence curves for the statin category did not reach a statistical significance (log-rank p=0.11).

**Multivariate analysis**

To obtain HRs for HF hospitalization of the statin groups, Cox’s proportional hazard analysis was examined. Multivariate analysis showed that the effect of the statin category on HF hospitalization was independent of age, sex, hypertension, ln [BNP (pg/mL)], HbA1c, eGFR, and LDL cholesterol levels (P for trend=0.002). In the model, HRs for HF in the moderate-intensity and low-intensity statin groups, in comparison to the no statin group, were 0.30 (95% CI 0.13 to 0.63, p=0.0008) and 0.97 (0.62 to 1.52, p=0.90), respectively, while the HR for the moderate-intensity statin group versus the low-intensity statin group was 0.31 (0.13 to 0.65, p=0.0014) (table 2).



**Figure 1** Kaplan-Meier estimates of the incidence of first heart failure hospitalization during follow-up according to the statin category among patients with type 2 diabetes in all (A) or stratified by the absence (B) or the presence (C) of coronary artery disease (CAD) (blue lines, moderate-intensity statin group; green lines, low-intensity statin group; red lines, no statin group).

**Effects of statin category on HF hospitalization in patients with or without prevalent CAD**

Cox’s proportional hazard analysis was performed next with stratification by the presence or absence of prevalent CAD.

In the patients without prevalent CAD at the baseline, no significant difference was demonstrated among the

**Table 2** Adjusted HR of the statin category for new heart failure hospitalization according to the CAD and LDL categories

CAD category	LDL category	Statin category	Referent	HR	Lower CI	Upper CI	p Value	Number of patients	Number of events
ALL	ALL	Low	None	0.97	0.62	1.52	0.90	534	94
		Moderate	None	0.30	0.13	0.63	0.0008		
		Moderate	Low	0.31	0.13	0.65	0.0014		
	<100 mg/dL	Low	None	1.23	0.52	2.97	0.63	194	29
		Moderate	None	0.25	0.05	0.85	0.025		
		Moderate	Low	0.20	0.04	0.66	0.007		
	≥100 mg/dL	Low	None	0.88	0.50	1.52	0.66	340	65
		Moderate	None	0.40	0.13	0.97	0.042		
		Moderate	Low	0.45	0.15	1.13	0.09		
CAD (–)	ALL	Low	None	1.09	0.41	2.59	0.86	249	32
		Moderate	None	0.38	0.05	1.54	0.19		
		Moderate	Low	0.35	0.05	1.70	0.20		
	<100 mg/dL	Low	None	5.81	0.49	130	0.16	83	10
		Moderate	None	0.47	0.02	7.47	0.60		
		Moderate	Low	0.08	0.00	3.03	0.18		
	≥100 mg/dL	Low	None	0.91	0.28	2.53	0.87	166	22
		Moderate	None	0.41	0.02	2.63	0.39		
		Moderate	Low	0.45	0.02	3.55	0.48		
CAD (+)	ALL	Low	None	0.86	0.49	1.50	0.59	285	62
		Moderate	None	0.24	0.09	0.55	0.0006		
		Moderate	Low	0.27	0.10	0.63	0.0015		
	<100 mg/dL	Low	None	0.87	0.28	2.79	0.81	111	19
		Moderate	None	0.13	0.02	0.68	0.014		
		Moderate	Low	0.15	0.02	0.64	0.008		
	≥100 mg/dL	Low	None	0.80	0.39	1.59	0.52	174	43
		Moderate	None	0.30	0.08	0.87	0.026		
		Moderate	Low	0.38	0.11	1.07	0.07		

HRs were adjusted by age, sex, hypertension, ln [BNP (pg/mL)], HbA1c, eGFR and LDL cholesterol levels.

BNP, B-type natriuretic peptide; CAD, coronary artery disease; eGFR, estimated glomerular filtration rate; HbA1c, glycated hemoglobin; LDL, low-density lipoprotein.

statin categories (log-rank  $p=0.96$ ) (figure 1B). Multivariate analysis showed that HF hospitalization during follow-up was significantly associated with ln [BNP (pg/mL)] ( $P$  for trend=0.0001) and eGFR ( $P$  for trend=0.0001) but not with the statin category ( $P$  for trend=0.39). HRs for the moderate-intensity and low-intensity statin groups with the no statin group as a reference were 0.38 (95% CI 0.05 to 1.54,  $p=0.19$ ) and 1.09 (0.41 to 2.59,  $p=0.86$ ), respectively, while the ratio for the moderate-intensity statin group compared with the low-intensity statin group was 0.35 (0.05 to 1.70,  $p=0.20$ ) (table 2).

In contrast, in the patients with prevalent CAD at the baseline, there was a significant difference among the statin groups (log-rank  $p=0.003$ ) (figure 1C). Cox regression analysis revealed that the effect of the statin category on HF hospitalization was significant ( $P$  for trend=0.0014) and independent of age, sex, hypertension, ln [BNP (pg/mL)], HbA1c, eGFR, and LDL at baseline. Adjusted HRs for the moderate-intensity and low-intensity statin groups compared with the no statin group as a reference were 0.24 (95% CI 0.09 to 0.55,  $p=0.0006$ ) and 0.86 (0.49 to 1.50,  $p=0.59$ ), respectively, while the ratio for the moderate-intensity statin group

compared with the low-intensity statin group was 0.27 (0.10 to 0.63,  $p=0.0015$ ) (table 2).

#### Effect of the statin category on HF hospitalization stratified by the baseline LDL category

We next determined the effects of statin administration according to the baseline LDL category with a cut point of 100 mg/dL. In patients without prevalent CAD, the rates of HF hospitalization were not significantly different among the statin categories irrespective of the LDL category (table 2). On the other hand, in patients with prevalent CAD, adjusted HRs for the moderate-intensity and low-intensity statin groups compared with the no statin group were 0.13 (95% CI 0.02 to 0.68,  $p=0.014$ ) and 0.87 (0.28 to 2.79,  $p=0.81$ ), respectively, for patients with their LDL levels <100 mg/dL, and were 0.30 (0.08 to 0.87,  $p=0.026$ ) and 0.80 (0.39 to 1.59,  $p=0.52$ ), respectively, for patients with LDL levels ≥100 mg/dL (table 2). Interestingly, among patients with prevalent CAD and with their LDL levels controlled to less than 100 mg/dL, the moderate-intensity statin group had a significantly lower HF hospitalization rate compared with the low-intensity statin group with an adjusted HR of 0.15 (0.02 to 0.64,  $p=0.008$ ).

### Effects of the statin category on CAD events during follow-up

Since a CAD event could lead to subsequent HF hospitalization, we also examined if the statin category was associated with CAD events during follow-up. Either in all patients or in patients with prevalent CAD, the statin category was not associated with incident CAD events during follow-up (see online supplementary figure S1). When only ACS events were examined in patients with prevalent CAD (see online supplementary figure S2), there was a tendency for the moderate-intensity statin group to have fewer ACS events than the no statin group, but the difference was not statistically significant. Adjusted HRs of the moderate-intensity and low-intensity statin groups compared with the no statin group were 0.46 (95% CI 0.17 to 1.12,  $p=0.09$ ) and 0.70 (0.33 to 1.43,  $p=0.33$ ), respectively.

### Sensitivity analysis

When analyzing only patients whose follow-up began during November 2005 to November 2007, the latter half of the entry period, the moderate-intensity statin group still had a significantly lower HF hospitalization rate compared with the low-intensity statin group or the no statin group with an HR of 0.18 (0.04 to 0.62,  $p=0.0056$ ) or 0.22 (0.05 to 0.71,  $p=0.001$ ), respectively.

We also divided the patients by their baseline plasma BNP level with a cut point of 100 pg/mL and evaluated the effects of the statin category on HF events. In patients with BNP  $\geq 100$  pg/mL, the HRs of the moderate-intensity statin group were 0.50 (0.19 to 1.22,  $p=0.13$ ) compared with the no statin group and 0.47 (0.17 to 1.19,  $p=0.11$ ) compared with the low-intensity statin group. In patients with BNP  $< 100$  pg/mL, HRs of the moderate-intensity statin group were 0.09 (0.01 to 0.43,  $p=0.0007$ ) compared with the no statin group and 0.1 (0.01 to 0.49,  $p=0.002$ ) compared with the low-intensity statin group. In the analysis including the diastolic dimension of the left ventricle in the adjustment factors instead of BNP, the statin category was still significantly associated with future HF hospitalization during follow-up ( $P$  for trend=0.011). Adjusted HRs for the moderate-intensity statin group were 0.36 (0.15 to 0.74,  $p=0.004$ ) compared with the no statin group and 0.37 (0.16 to 0.77,  $p=0.007$ ) compared with the low-intensity statin group.

When patients who developed CAD prior to HF were censored at the time of the CAD event, there was a significant association between the statin category and HF not in patients without prevalent CAD ( $P$  for trend=0.24) but in patients with prevalent CAD ( $P$  for trend=0.015). Adjusted HRs for the moderate-statin group compared with the no statin group and to the low-intensity statin group were 0.21 (95% CI 0.01 to 1.22,  $p=0.089$ ) and 0.24 (0.01 to 1.73,  $p=0.17$ ), respectively, for patients without prevalent CAD, and were 0.33 (0.12 to 0.73,  $p=0.006$ ) and 0.37 (0.14 to 0.82,  $p=0.014$ ), respectively, for patients with prevalent CAD.

### DISCUSSION

In patients with type 2 diabetes, we examined the effect of baseline statin category on future HF hospitalization and found a significantly decreased rate of HF hospitalization in the moderate-intensity statin group compared with the low-intensity or no statin group. The association between the statin category and HF development persisted after adjusting for possible confounding factors.

Since HF incidence rates are 2–5 times greater in patients with diabetes than those in the general population,<sup>13</sup> it is important to establish the proper management of patients with diabetes for HF prevention.<sup>14</sup> While several papers reported the effect of glucose control in HF incidence among patients with diabetes,<sup>11–17</sup> the role of statins and their intensity in HF prevention were poorly studied and understood. Guidelines recommend that, in addition to lifestyle therapy, at least moderate-intensity statin treatment should be considered if clinically indicated in patients with diabetes,<sup>4–5</sup> since trials including patients with diabetes showed that statin therapy leads to significant reductions in all-cause and cardiovascular mortalities.<sup>8</sup> However, while the clinical trials on which the guidelines are based were aiming primarily for composite end points, it is not certain whether and which statins prevent HF per se in patients with diabetes. Although observational, this study offers an important clue which suggests that therapy with moderate-intensity statins could prevent HF morbidity in patients with diabetes.

In this study, the effect of the statin category was apparent, especially in patients with prevalent CAD but without overt HF (BNP  $< 100$  pg/mL). While there was a tendency toward the beneficial effect of moderate-intensity statins, the difference among the statin categories did not reach statistical significance in patients with progressed HF (BNP level  $\geq 100$  pg/mL). Although it is recommended that, in all patients with a history of CAD, statins should be used to prevent symptomatic HF and cardiovascular events,<sup>18</sup> recent clinical trials prospectively investigating the use of rosuvastatin in patients with chronic HF did not demonstrate a beneficial effect on secondary prevention for HF.<sup>19–20</sup> In contrast, in a cohort of patients with CAD without prevalent HF, the effect of simvastatin 20–40 mg was shown to prevent future HF incidence.<sup>21</sup> Therefore, the benefit of statins might depend on the baseline levels of HF severity and could become more prominent when used in patients at a certain point (ie, CAD without HF) in the cardiovascular disease continuum.

Interestingly, in this study, the effect of the statin category was independent of baseline LDL levels, and, even in patients whose LDL levels were controlled to less than 100 mg/dL, the moderate-intensity statin group had significantly lower HF incidence than the low-intensity or no statin groups. Since pleiotropic effects of statins are thought to be beneficial for HF prevention,<sup>10</sup> these results appeared to be consistent with the effect of statin outside lipid lowering and with the 2015 management

guideline of the American Diabetes Association,<sup>5</sup> which was revised to recommend when to initiate which statin based on the risk profile rather than LDL levels.

The association between the statin category and HF incidence could be confounded by a CAD event during follow-up since coronary events such as MI often lead to cardiac dysfunction and subsequent HF. Therefore, we examined if the statin category affects the rate of cardiovascular events or ACS and found no significant difference among the statin groups. Furthermore, the effect of the statin category on HF was consistent in the analysis where patients who had CAD events prior to HF events were censored at the time of the CAD event (ie, the incident HF occurred only in those who had no clinical CAD event during follow-up). These results suggest that the effect of the statin category on HF hospitalization was independent of CAD events.

In this study, HF incidence was significantly lower in patients with diabetes taking moderate-intensity statins at baseline. Since moderate-intensity statins were available and became popular in later years than low-intensity statins, it was possible that the observed difference among statins could simply reflect the improved prevention of HF in modern medicine. To exclude this possibility, the effects of the statin category were next examined only in patients enrolled during November 2005 to November 2007, the latter half of the entry period, which revealed that the moderate-intensity statin group still had a significantly lower HF hospitalization rate compared with the low-intensity and no statin groups.

Limitations in this study include the following: since the study was performed in a cardiovascular center, the generalizability needs to be confirmed. In addition, in this study, only 6.9% of the patients had their baseline LDL levels below 70 mg/dL. Therefore, we could not perform the analysis using an LDL cut-off of 70 mg/dL. Furthermore, although planned carefully, the observational nature of the study could contain several biases. Since some baseline variables including age of subjects were significantly different in the statin category (table 1), even using the statistics to minimize and quantify these effects, a selection bias could still affect the results. For example, the choice of the statin intensity could be related to a clinical decision based on the patient profiles. In fact, in patients without CAD and with LDL<100 pg/mL, the low-intensity statin group had an insignificant but higher risk for HF than the no statin group with an adjusted HR of 5.8, while in patients of other groups the ratios were 0.8–0.9 (table 2). It is most likely that the absence of difference in the HF risk between the low-intensity and no intensity groups was attributed largely to the baseline difference in patient population, thus leading to the absence of the overall dose-related effect of the statin category in prevention of HF.

In summary, patients with type 2 diabetes taking moderate-intensity statins had lower HF hospitalization incidence than those taking low-intensity statins or not taking any statin. The effect of the statin intensity was

irrespective of LDL levels and apparent, especially in diabetes with prevalent CAD or with BNP<100 pg/mL. Whether administration of higher intensity statins to these patients could lead to lower HF risks should be confirmed with further studies.

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